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# **Health Care Appropriations Subcommittee**

## **Meeting Packet**

**February 12, 2013  
1:30 PM—4:30 PM  
Webster Hall**



# The Florida House of Representatives

## Appropriations Committee

### Health Care Appropriations Subcommittee

**Will Weatherford**  
Speaker

**Matt Hudson**  
Chair

#### AGENDA

February 12, 2013

Webster Hall (212 Knott)

- I. Call to Order/Roll Call
  
- II. Presentation by the Department of Children & Families
  - David Wilkins, Secretary
  
- III. Presentation by the Department of Children & Families on Substance Abuse & Mental Health Programs and Managing Entities
  - David Wilkins, Secretary
  
- IV. Presentation by the Agency for Persons with Disabilities
  - Barbara Palmer, Director
  
- V. Closing Remarks and Adjournment





**Rick Scott, Governor**  
**David Wilkins, Secretary**



Department of Children and Families  
Overview

Substance Abuse and Mental Health Programs  
Managing Entities Implementation

House Health Care Appropriations Subcommittee  
February 12, 2013

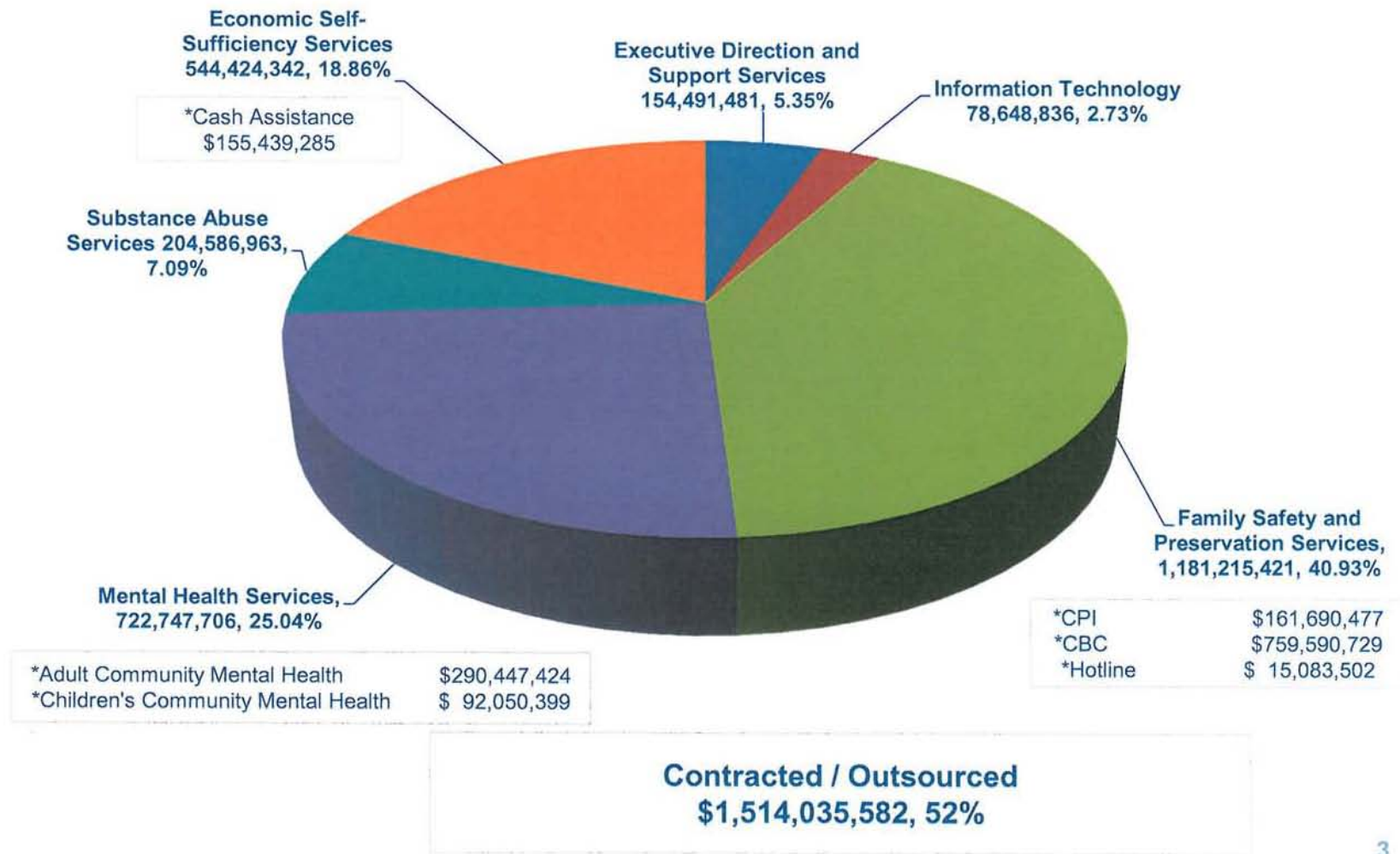
Presented by:  
David E. Wilkins  
Secretary

*The mission of the Department of Children and Families is to work in partnership with local communities to protect the vulnerable, promote strong and economically self-sufficient families, and advance personal and family recovery and resiliency.*

## STRUCTURE

- 11,754 employees
- Six regional offices
- 53% privatized services
  - ⊗ Child welfare fully outsourced after investigations
  - ⊗ Domestic Violence
  - ⊗ Six of nine institutions privatized
  - ⊗ Managing Entities established for SA/MH community services

# Fiscal Year 2012-13 Appropriations by Budget Entity



# Family and Community Services

**Child Welfare** – Hotline, Child Protective Investigations, Community Based Care, Independent Living, Children Legal Services.

**Adult Protection** – Adult Protective Investigations, Protective Supervision, Intervention, Short Term Case Management.

**Domestic Violence** - Crisis intervention and support services to adult victims of domestic violence and their children.

**Refugee Services** - Coordinates and oversees the services provided to refugees in Florida to help them become economically self-sufficient.

**Homelessness** - Coordinates the services of the various state agencies and programs to serve individuals or families who are homeless, or are facing homelessness.

**Child Care Regulation** - Regulates licensed child care facilities, licensed family day care homes, licensed large family child care homes, and mildly ill facilities.

# Substance Abuse Services

## Prevention

Activities and strategies designed to preclude the development of substance abuse problems by addressing the risk factors known to contribute to substance use.

## Treatment

Various levels of residential, outpatient treatment, and recovery support services.

## Detoxification

Focuses on eliminating substance use by utilizing medical and clinical procedures to assist individuals and adults as they withdraw from the physiological and psychological effects of substance abuse.



# Mental Health Services

## **Adult Community Mental Health**

Outpatient, residential and acute care services and supports for adults with serious and persistent mental illness, adults in crisis, and adults with forensic involvement.

## **Children's Mental Health**

Serves children and adolescents who are seriously emotionally disturbed, or at risk of becoming emotionally disturbed.

## **State Mental Health Treatment Facilities**

Serves adults who have been committed for intensive inpatient treatment by a circuit court.

# ACCESS

(Automated Community Connection Economic Self-Sufficiency)

**Food Assistance Program (SNAP)** – Assists individuals and families in purchasing nutritional foods needed to maintain and promote good health.

**Temporary Cash Assistance** - Provides financial assistance to pregnant women in their third trimester and families with dependent children to assist in the payment of rent, utilities and other household expenses.

**Medicaid Eligibility** – Determines eligibility for Medicaid.

# People Served FY 2011-12

Family and Community Services	Substance Abuse and Mental Health Services	Economic Self-Sufficiency
<ul style="list-style-type: none"> <li>• 415,542 Abuse Hotline contacts</li> <li>• 187,997 child protective investigations (19% verified)</li> <li>• 45,567 adult protective investigations (9% verified)</li> <li>• 61,976 children served in child welfare (19,500 in foster care)</li> <li>• 36,980 inspections of 4,679 child care facilities and 823 family child care homes</li> <li>• 52,386 victims served through domestic violence emergency shelters and services</li> <li>• 57,714 refugee clients</li> </ul>	<ul style="list-style-type: none"> <li>• 316,725 in mental health programs</li> <li>• 2,531 adults in forensic commitment</li> <li>• 2,000 in civil commitment</li> <li>• 760 convicted sexual predators</li> <li>• 190,769 in substance abuse programs</li> <li>• 3,947 in mental health community forensic involvement</li> </ul>	<ul style="list-style-type: none"> <li>• 4,633,603 clients received food assistance</li> <li>• 189,382 families received temporary cash assistance</li> <li>• 3,589,379 Medicaid enrollees</li> </ul>



# Substance Abuse and Mental Health Programs

Managing Entities Implementation

# Substance Abuse & Mental Health Key Functions

- Serves as the State Substance Abuse Authority, State Methadone Authority, and State Mental Health Authority
- Designates Addiction Receiving Facilities, Crisis Stabilization Units, and Opioid Maintenance Treatment Clinics
- Allocates state and federal funds to support a statewide system of care for behavioral health prevention, treatment and recovery
- Operates and manages state mental health treatment facilities
- Promulgates and implements admin rules and statewide policies
- Licenses and regulates all substance abuse providers in the state

# Populations Served

- Required by state and federal law to serve a broad cross section of the state, including:
  - Children with Emotional Disturbances, and Serious Emotional Disturbances
  - Adults with Serious and Persistent Mental Illness
  - Adults in Crisis
  - Adults with Forensic Involvement
- Priority populations:
  - Pregnant women with substance use disorder
  - Persons HIV-AIDs and other infectious diseases
  - Homeless populations

# Persons Served in Mental Health

Community Mental Health Programs	Number of ADULTS Served Statewide (FY11-12)
Serious and Persistent Mental Illness	178,524
In Mental Health Crisis (Baker Act)	34,474
Community Forensic	3,947
<b>TOTAL ADULTS</b>	<b>216,945</b>

Community Mental Health Programs	Number of CHILDREN Served Statewide (FY11-12)
Seriously Emotionally Disturbed (SED)	58,975
Emotionally Disturbed (ED)	38,661
At Risk of ED	2,144
<b>TOTAL CHILDREN</b>	<b>99,780</b>



# Persons Served in Substance Abuse

Community Substance Abuse Programs	Number of ADULTS Served Statewide (FY11-12)
Community Programs	107,911
Access to Recovery Grant (ATR)	3,002
Detoxification Services	19,928
Residential Services	8,498
<b>TOTAL ADULTS</b>	<b>139,339</b>

Community Substance Abuse Programs	Number of CHILDREN Served Statewide (FY11-12)
Community Programs	47,423
Detoxification Services	2,481
Residential Services	1,526
<b>TOTAL CHILDREN</b>	<b>51,430</b>

# Funding for Behavioral Health Services FY 2012-2013

	Adult CMHS	Adult MH Treatment Facilities	Children's MHS	Executive Leadership	SVPP	TOTAL
State General Revenue	\$250,090,378	\$220,019,815	\$66,058,164	\$6,001,171	\$32,233,005	\$574,402,533
State Trust Funds	\$656,777	\$7,053,760	-	\$9,522	\$1,722,356	\$9,442,415
Federal Funds	\$39,700,269	\$66,029,769	\$26,154,061	\$7,018,659	-	\$138,902,758
<b>TOTAL</b>	<b>\$290,447,424</b>	<b>\$293,103,344</b>	<b>\$92,212,225</b>	<b>\$13,029,352</b>	<b>\$33,955,361</b>	<b>\$722,747,706</b>

	Adult SA	Children's SA	Executive Leadership	TOTAL
State General Revenue	\$42,853,669	\$38,990,534	\$1,195,742	\$83,039,945
State Trust Funds	\$1,946,754	\$2,946,686	-	\$4,893,440
Federal Funds	\$79,355,481	\$30,638,206	\$6,659,891	\$116,653,578
<b>TOTAL</b>	<b>\$124,155,904</b>	<b>\$72,575,426</b>	<b>\$7,855,633</b>	<b>\$204,586,963</b>

# State Mental Health Treatment Facilities

- **Civil Facilities**
  - Persons committed pursuant to the Baker Act (involuntary and voluntary)
- **Forensic Facilities**
  - Persons committed pursuant to Chapter 916, F.S.
- **Sexually Violent Predator Program**
  - Screening and evaluation
  - Long-term care and treatment

# Treatment Facility Capacity

Civil Facility	Capacity	11-12 Utilization
Florida State Hospital – Civil (FSHC)	490	97%
Northeast Florida State Hospital (NEFSH)	613	96%
South Florida State Hospital (SFSH)	341	95%
West Florida Community Care Center (WFCCC)	80	97%
Florida Civil Commitment Center (FCCC)	720	94%
<b>TOTAL CAPACITY</b>	<b>2,244</b>	

Forensic Facility	Capacity	11-12 Utilization
Florida State Hospital – Forensic (FSHF)	469	94%
North Florida Evaluation & Treatment Center (NFETC)	193	89%
South Florida Evaluation & Treatment Center (SFETC)	238	97%
Treasure Coast Forensic Treatment Center (TCFTC)	208	99%
<b>TOTAL CAPACITY</b>	<b>1,108</b>	

# Managing Entities Reinvestment Model for Behavioral Health

- Authorized in 2001 by s. 394.9082, F.S.
- Organized as a non-profit with a board composed of members from the community it serves
- Manages a system of care for behavioral health treatment and prevention
- Ensures a comprehensive and accessible array of services
- Creates administrative efficiencies and utilization management
- Funded through DCF base budget, and by achieved efficiencies through statewide implementation

# Managing Entities

When the Department administered the system:

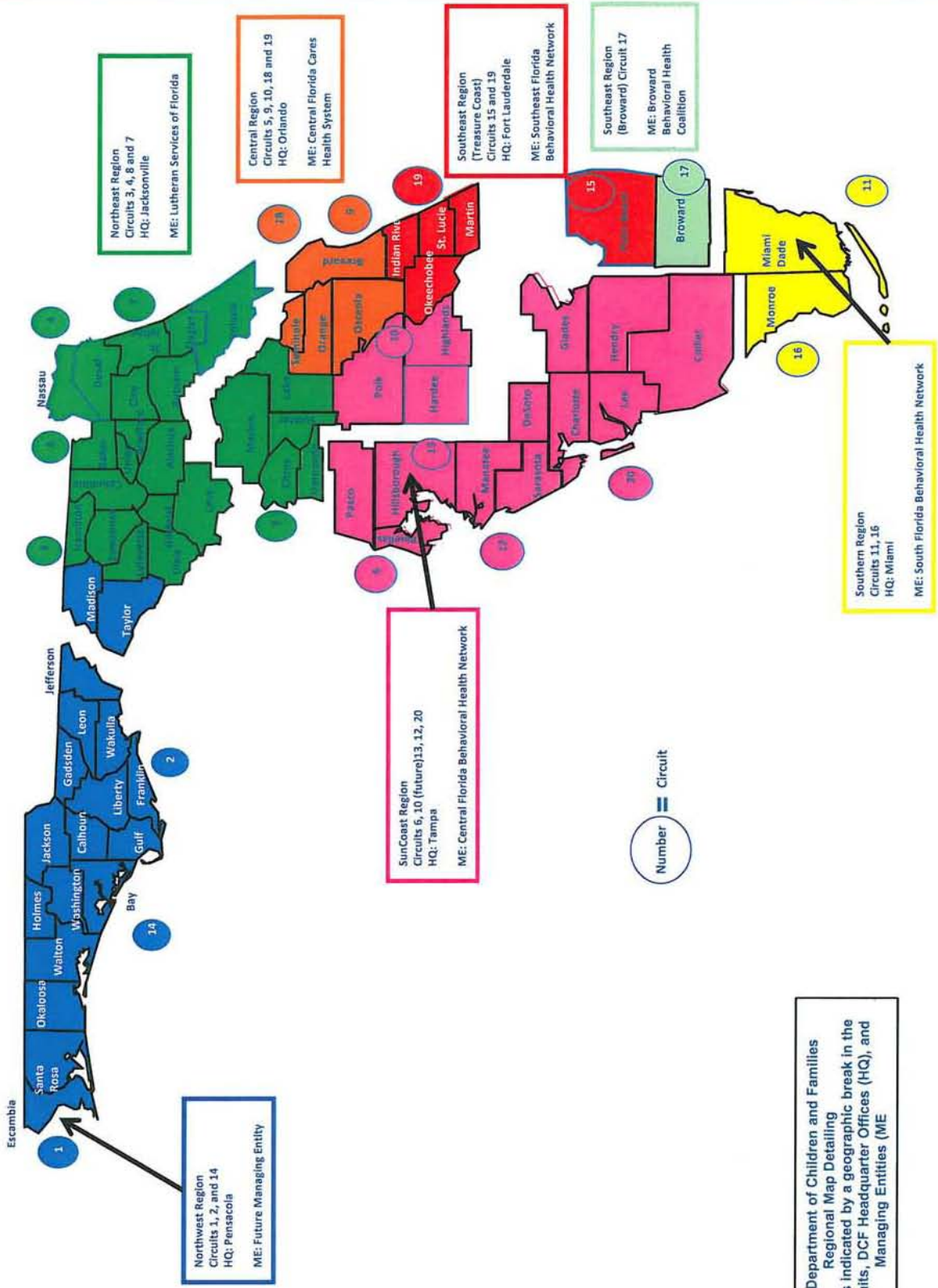
- Over 500 individual provider contracts
- Centralized control from Tallahassee
- Inadequate statewide management of care

With the managing entity system:

- 7 competitively procured contracts
- Regional board/local control
- Improved coordination of care

Currently, 6 managing entities operational

- 1 in negotiation



Florida Department of Children and Families  
Regional Map Detailing  
Regions as indicated by a geographic break in the  
map, Circuits, DCF Headquarter Offices (HQ), and  
Managing Entities (ME)

# Managing Entities Reinvestment Model for Behavioral Health

- Improve quality of care by:
  - Cost Efficiencies
  - Utilization Management
  - Better Coordination of DCF and Local Initiatives
  - Accountability/Transparency



# Cost Efficiency

- Historically unwieldy and complex system of individual provider contracts
  - A single point of enrollment for consumers
    - Eligibility for Medicaid, or alternative payer determined at this point
  - Administrative cost controls
    - Managing Entities provide Economies of Scale
    - Allows providers to focus on services, not back end processes

# Utilization Management

- Improves consumer outcomes by managing their care, at the appropriate level
- Brings success of other social service programs to DCF funded behavioral health care
  - Community Based Care Organizations (CBC)
  - Managed Care reforms to Medicaid

## Better Coordination of DCF and Local Initiatives

- Historically, it has been difficult to coordinate initiatives with so many contracts
- Managing entities serve as a vehicle to achieve greater coordination
  - Research and experience show cross-over between child welfare involvement and SAMH
  - Coordinating care for parents generates better outcomes for children
- Easier to coordinate local initiatives because managing entities have created a network

# Accountability / Transparency

- Performance Scorecards
  - Developed with stakeholders
    - Generates data for local decisions
    - Available on DCF website
  - Local oversight of service delivery and system design
- Performance Based Contracts
- ME Accountability Unit

# Results of Managing Entity Reinvestment Model

- By creating a coordinated system of care:
  - Consumer outcomes are improved
  - Local development of system of care
  - Created economies of scale are projected to redirect dollars back into services



**Rick Scott, Governor**  
**David Wilkins, Secretary**



Please visit our website at:  
<http://www.myflfamilies.com/>  
for more information about  
SAMH services in your  
community.

Mission: Protect the Vulnerable, Promote Strong and Economically Self-Sufficient Families,  
and Advance Personal and Family Recovery and Resiliency.





agency for persons with disabilities  
*State of Florida*

# Agency for Persons with Disabilities Overview

House Health Care Appropriations  
Subcommittee

February 12, 2013

**Rick Scott**  
Governor

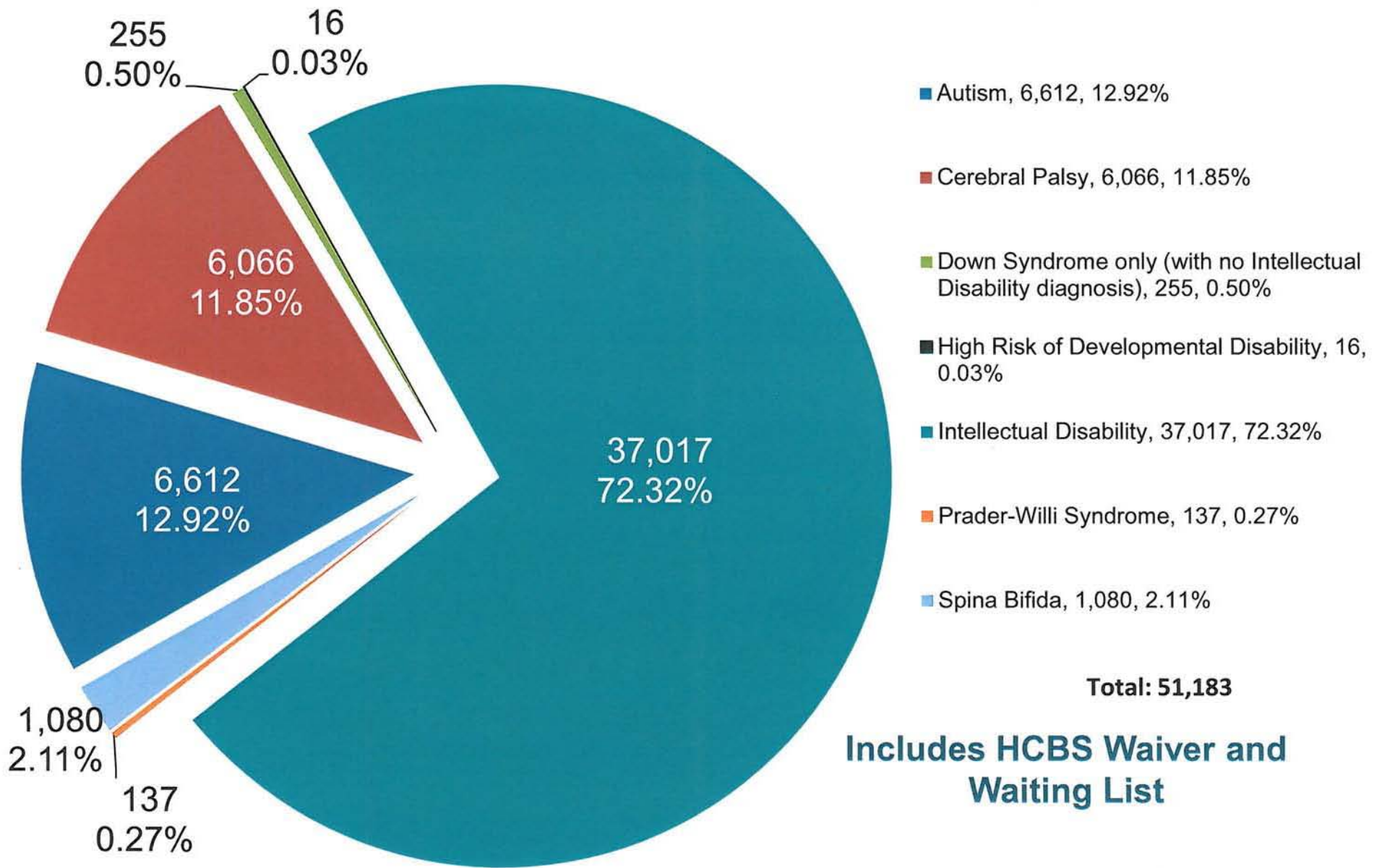
**Barbara Palmer**  
Director





<b>Legislative Authority</b>	<b>Agency Mission</b>
<p>S. 393.062, F.S.: “...the greatest priority shall be given to the development and implementation of community-based services that will enable individuals with developmental disabilities to achieve their greatest potential for independent and productive living, enable them to live in their own homes or in residences located in their own communities, and permit them to be diverted or removed from unnecessary institutional placements....”</p>	<p>The agency supports persons with developmental disabilities in living, learning, and working in their communities.</p>

# Customers by Primary Disability



Source: Agency Allocation, Budget and Contract Control (ABC) System as of January 1, 2013



### **Medicaid Home and Community Based Services (HCBS) Waiver**

- Provides services in the community in lieu of more restrictive and expensive institutional programs
- State matches the federal Medicaid dollars out of General Revenue dollars

42.27% state dollars to  
57.73% federal dollars

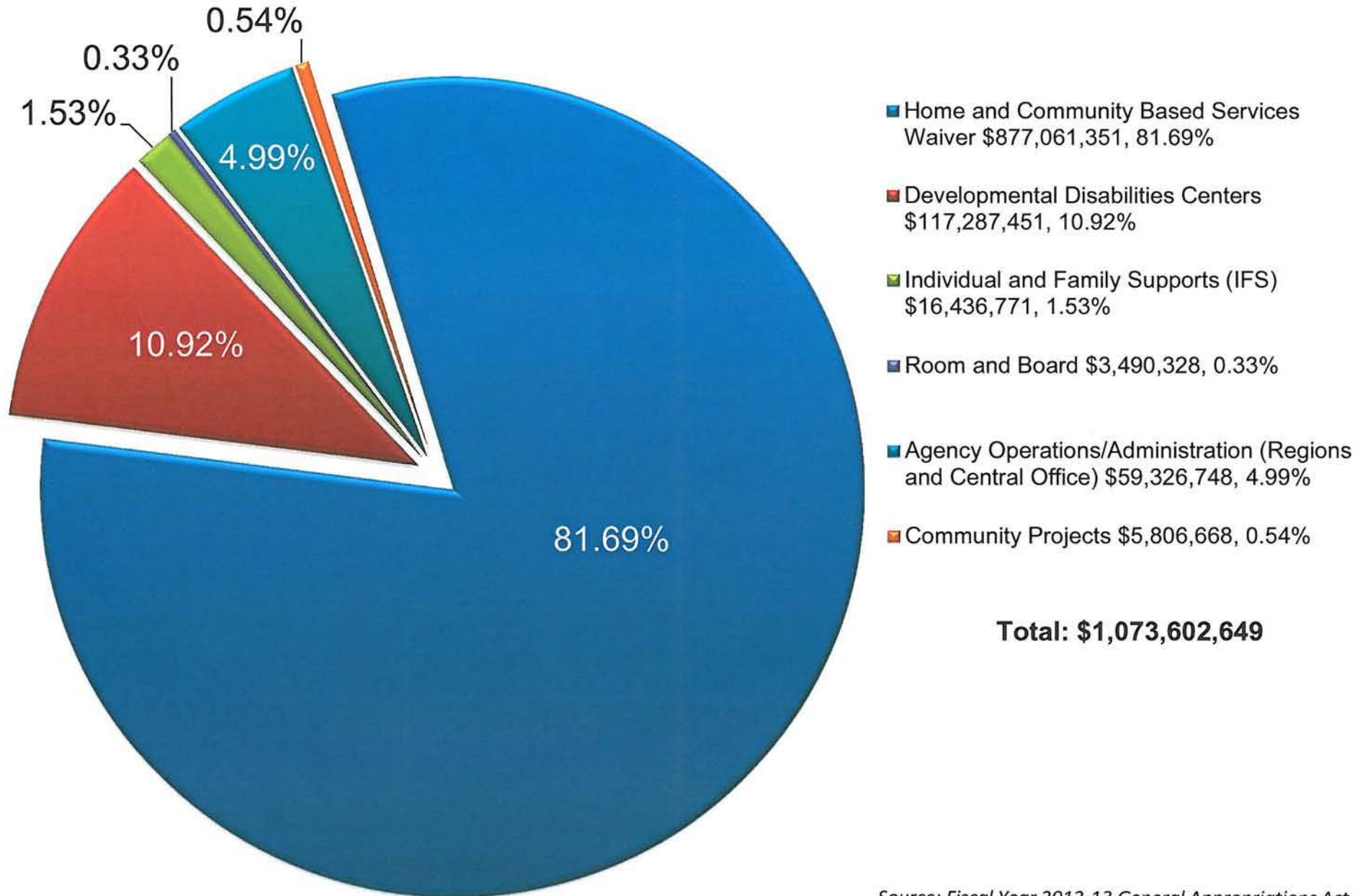
### **Individual and Family Supports (IFS)**

- Primary funding source for individuals who are not enrolled in the waiver
- Primarily Federal Social Services Block Grant (SSBG) dollars
- Includes a small portion of State Funded General Revenue that pays for services not covered under the SSBG such as medical and dental



<b>Developmental Disability Centers (DDCs)</b>	<b>Intermediate Care Facility/Developmental Disability</b>
<ul style="list-style-type: none"><li>• State-operated Intermediate Care Facilities for the Developmentally Disabled at Sunland and Tacachale</li><li>• Funded by the Medicaid program</li></ul>	<ul style="list-style-type: none"><li>• Privately operated and funded by Medicaid program, and licensed and administered by AHCA.</li><li>• APD authorizes admissions, determines the level of care of each resident, and performs continued stay reviews every six months.</li></ul>
<b>Forensic</b>	
<ul style="list-style-type: none"><li>• Mentally Retarded Defendant Program (MRDP)</li><li>• Forensic programs at Sunland and Tacachale</li></ul>	

## Agency Appropriations by Major Programs Fiscal Year 2012-13



**Total: \$1,073,602,649**

Source: Fiscal Year 2012-13 General Appropriations Act,  
Chapter 2012-118, Laws of Florida



## Agency Priorities

### Agency Initiatives

#### Fiscal Accountability

APD operates within the limits set forth in the General Appropriations Act.

#### Quality Services

Ability to measure and track performance to ensure the highest quality care.

### Community Initiatives

#### Employment

People with developmental disabilities who desire work are competitively employed.

#### Waitlist

APD adopts national and local best practices to serve people on the waitlist efficiently and effectively.



## Agency Priorities

- **Fiscal Accountability/Cost-Containment**
  - The Home and Community Based Services (HCBS) waiver program currently has a \$39.9 million (\$17.02 million GR) carry-forward deficit (from prior years)
  - However, APD projects expenditures to be within appropriations for Fiscal Year 2012 – 13 in the HCBS waiver program
  - As a result of cost-containment efforts between the Legislature, the Governor's Office, and APD, the Agency's waiver expenditures were reduced by more than \$70 million during Fiscal Year 2011 – 12 while still ensuring customer health, safety, and community living



# agency for persons with disabilities

## State of Florida

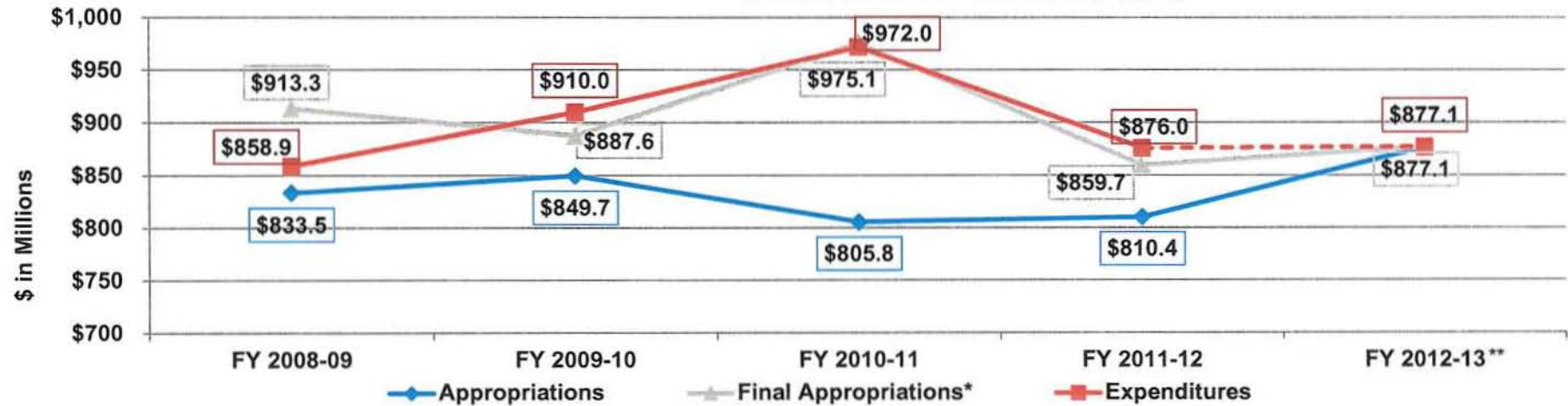
### APD Monthly Surplus/Deficit Report - Expanded Analysis

FY 2012-13 APD Waiver Expenditures	Initial Forecast Expenditures	GR Budget Forecast	Actual Expenditures	Without QEC	With QEC
				GR Budget Forecast less Expenditures	Initial Budget Forecast less Expenditures
<b>Appropriation</b>		\$ 343,208,923		This column shows the difference of the GR budget forecast and actual expenditure by month	This column shows the difference of the Initial GR budget forecast and actual expenditure by month
Appropriation Qualified Expenditure Category (QEC)*		\$ 27,524,911			
FMAP Adjustment					
Other Adjustments					
Adjusted Appropriation		\$ 370,733,834			
July Expenditures	\$ 8,738,460	\$ 8,089,678	\$ 7,715,318	\$ 374,360	1,023,142
August Expenditures	\$ 33,538,789	\$ 31,048,722	\$ 34,484,637	\$ (3,435,915)	(945,848)
September Expenditures	\$ 26,817,559	\$ 24,826,506	\$ 27,296,480	\$ (2,469,974)	(478,921)
October Expenditures	\$ 35,497,209	\$ 32,861,740	\$ 36,589,562	\$ (3,727,822)	(1,092,353)
November Expenditures	\$ 28,570,825	\$ 26,449,601	\$ 23,809,677	\$ 2,639,924	4,761,148
December Expenditures	\$ 29,852,772	\$ 27,636,371	\$ 36,342,991	\$ (8,706,620)	(6,490,219)
January Expenditures	\$ 32,849,004	\$ 30,410,150	\$ 26,330,608	\$ 4,079,542	6,518,396
February Expenditures	\$ 27,568,047	\$ 25,521,274	\$ -		
March Expenditures	\$ 29,091,096	\$ 26,931,245	\$ -		
April Expenditures	\$ 27,627,304	\$ 25,576,131	\$ -		
May Expenditures	\$ 34,521,915	\$ 31,958,856	\$ -		
June Expenditures	\$ 29,259,670	\$ 27,087,304	\$ -		
Certified Forward – July	\$ 23,642,872	\$ 21,887,521	\$ -		
Certified Forward – August	\$ 2,185,655	\$ 2,023,382	\$ -		
Certified Forward – September	\$ 972,658	\$ 900,443	\$ -		
<b>Total FY 12-13 Actual Expenditures</b>				\$ (11,246,506)	3,295,345
FY 2011-12 Carry Forward Balance				\$ (17,020,370)	(17,020,370)
<b>Total Projected APD Waiver Balance</b>	\$ 370,733,834	\$ 343,208,923		\$ (28,266,876)	(13,725,025)



# Expenditures History

APD HOME AND COMMUNITY BASED SERVICES (HCBS) WAIVER APPROPRIATIONS COMPARED TO EXPENDITURES

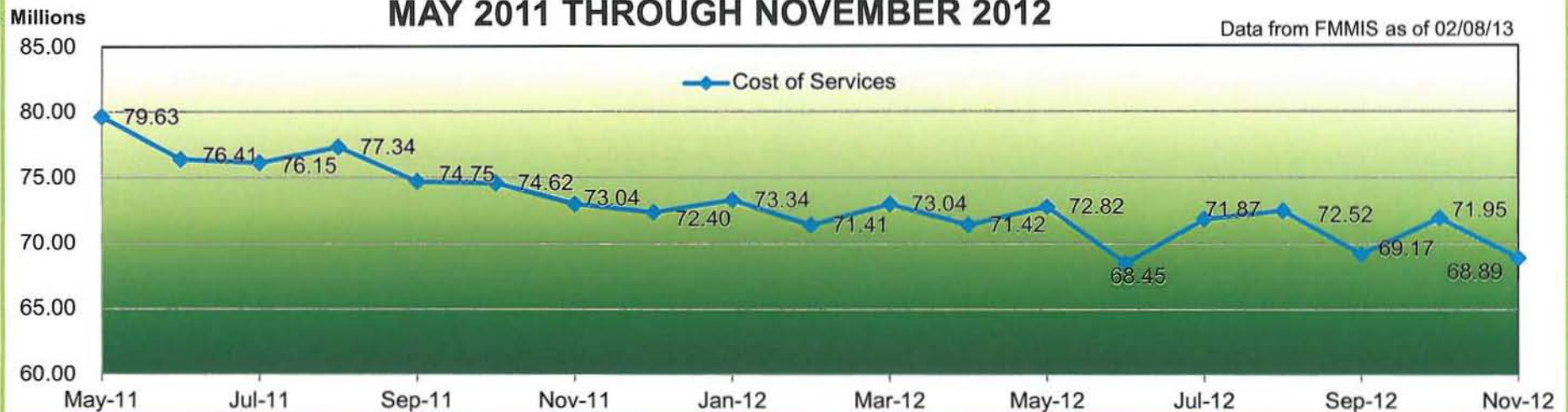


\*Final Appropriations include any supplemental appropriations and budget amendments

\*\* Fiscal Year 2012-13 has a \$39.9 million (\$17.02 million GR) carry-forward deficit

Updated as of 11/16/12

TOTAL WAIVER EXPENDITURES BY MONTH OF SERVICE  
MAY 2011 THROUGH NOVEMBER 2012



Data from FMMIS as of 02/08/13



## Agency Priorities

- **Employment**

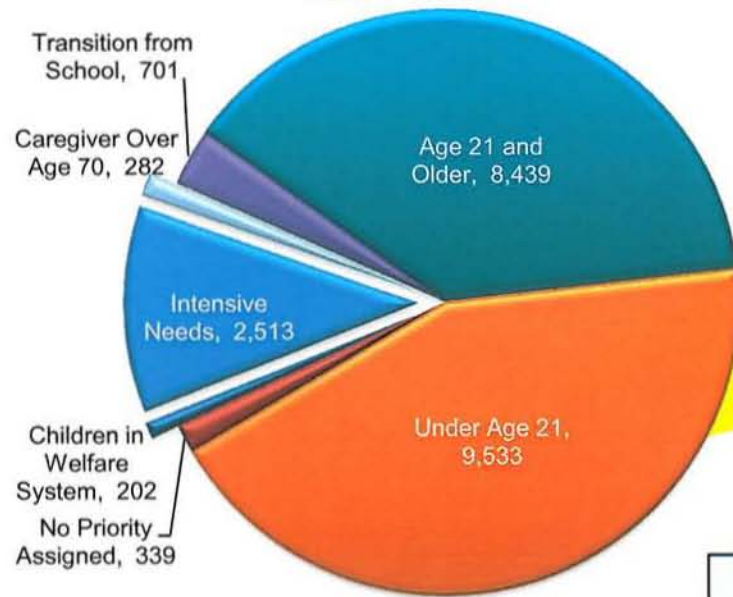
- Approximately 3,100 APD customers are competitively employed and are making an average of \$8.49 per hour
- Over 9,000 APD Customers would like to be competitively employed and APD is working diligently with public and private partners to assist these individuals
- A specific focus will be placed on assisting those that are in transition from the school system to post high school employment (age 16-24)



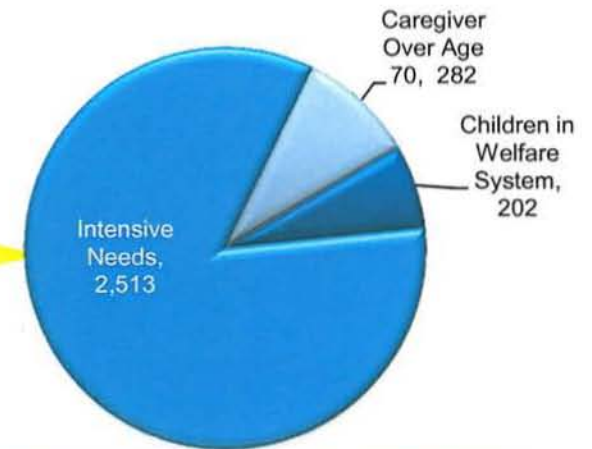
# agency for persons with disabilities State of Florida

## Agency Priorities - Waiting List

**Total Waiting List by Category**  
Total = 22,009



**Waiting List Areas of Focus**  
Total = 2,997



General Revenue	Federal Match	Total Funding	Projected # Waitlist Clients Added to Waiver	% Waitlist Clients Served From Areas of Focus
\$ 10,000,000	\$ 14,195,500	\$ 24,195,500	484-756	16.1%-25.2%
\$ 15,000,000	\$ 21,293,249	\$ 36,293,249	726-1,134	24.2%-37.8%
\$ 20,000,000	\$ 28,390,999	\$ 48,390,999	968-1,512	32.3%-50.5%
\$ 25,000,000	\$ 35,488,749	\$ 60,488,749	1,210-1,890	40.4%-63.1%
\$ 30,000,000	\$ 42,586,499	\$ 72,586,499	1,452-2,268	48.4%-75.7%
\$ 40,000,000	\$ 56,781,999	\$ 96,781,999	1,936-3,024	64.6%-100.9%
\$ 50,000,000	\$ 70,977,498	\$ 120,977,498	2,420-3,781	80.7%-126.1%

Based on cost plan ranges from \$32,000 to \$50,000.

If funding exceeds 100% of Areas of Focus, additional waitlist clients can be transitioned from other categories based on level of need. (Updated to reflect FMAP change for 11/2012.)

Source: APD Agency Allocation, Budget, and Contract Control (ABC) System as of November 1, 2012



## Agency Priorities – Quality Service Regions

### **Northwest Region (850) 487-1992**

Bay, Calhoun, Escambia, Franklin, Gadsden, Gulf, Holmes, Jackson, Jefferson, Leon, Liberty, Okaloosa, Santa Rosa, Wakulla, Walton, and Washington counties

### **Northeast Region (904) 992-2440**

Alachua, Baker, Bradford, Clay, Columbia, Dixie, Duval, Flagler, Gilchrist, Hamilton, Lafayette, Levy, Madison, Nassau, Putnam, St. Johns, SuwanneeTaylor, Union, and Volusia counties

### **Central Region (407) 245-0440**

Brevard, Citrus, Hardee, Hernando, Highlands, Indian River, Lake, Marion, Martin, Okeechobee, Orange, Osceola, Polk, Seminole, St. Lucie, and Sumter counties

### **Suncoast Region (813) 233-4300**

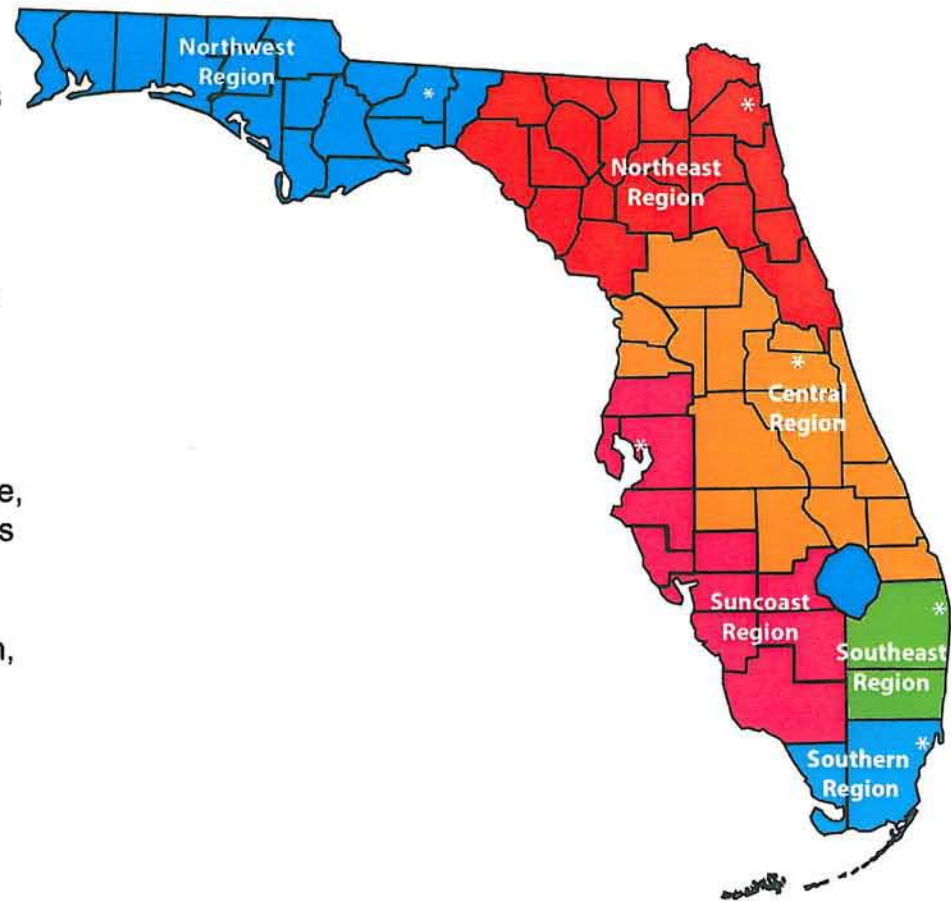
Charlotte, Collier, DeSoto, Glades, Hendry, Hillsborough, Lee, Manatee, Pasco, Pinellas, and Sarasota counties

### **Southeast Region (561) 837-5564**

Broward and Palm Beach counties

### **Southern Region (305) 349-1478**

Dade and Monroe counties





## iBudget

### Simplicity, Equity, Self-Direction, Sustainability

- Approved by both the Legislature and the federal government
- Customers have better access to a wide range of services within their individual annual budget amount
- Customers will have flexibility to use the funding for necessary services as long as their health and safety needs are covered
- Provides for financial predictability within the HCBS Medicaid waiver while making the funding process fair and equitable for all waiver customers
- APD worked with a diverse group of stakeholders: individuals with developmental disabilities, families, waiver support coordinators, and providers
- Total enrolled as of January 14, 2013 is 19,866 customers
- Of the total enrolled, 2,281 (11.4%) iBudget customers have requested a hearing
- Pending legal challenges are being addressed



## iBudget Implementation Schedule

- **Northwest Region— 2,921 customers**
  - Areas 1 and 2 from Pensacola to Tallahassee on April 1, 2012
- **Northeast Region and Central Region— 4,741 customers**
  - Northeast (Areas 4 (Jacksonville), 12 (Daytona Beach)) and Central (Area 13 (Ocala)) on July 1, 2012
- **Northeast Region and Central Region – 5,559 customers**
  - Northeast (Areas 3 (Gainesville)) and Central (Area 7 (Orlando), 14 (Lakeland), and 15 (Fort Pierce)) on October 1, 2012
- **Suncoast Region – 6,125 customers**
  - Areas 23 and 8 from Tampa to Fort Myers on January 1, 2013
- **Southeast Region – 3,812 customers**
  - Areas 9 and 10 from West Palm Beach to Fort Lauderdale on April 1, 2013
- **Southern Region – 5,815 customers**
  - (Area 11, Miami-Dade and CDC+ Statewide) on July 1, 2013



## Highlights from Governor's Recommendations

- **Additional Funding to Serve Waiting List**  
\$15 million GR; \$21.3 million TF; \$36.3 million Total
- **Employment and Internship Supports**  
\$2.5 million GR
- **Resources to Address Carry Forward Deficit and Waiver Funds from FY 2011-2012**  
\$17 million GR; \$23.2 million Trust Funds; \$40.2 million Total
- **Electronic Visit Verification and Central Customer Record**  
\$700,878 GR; \$700,878 TF; \$1,401,756 Total
- **Fixed Capital Outlay – Maintenance and Repair**  
\$2 million GR



agency for persons with disabilities  
*State of Florida*

**Thank you**

Director Barbara Palmer  
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